



**Application Form**  
Invesco Funds

DRAFT

# 01 Account Opening Application Form

## How to complete this Application Form

This Application Form is for subscription of Shares in Invesco Funds (the "SICAV"). Please read through this Application Form carefully and complete all relevant sections in BLOCK CAPITALS using a blue or black ballpoint pen. This Application Form is issued by the SICAV and an original signed version must be returned to Invesco Transfer Agency at International Financial Data Services (Ireland) Limited, Bishop's Square, Redmond's Hill, Dublin 2, Ireland ("IFDS Ireland"). IFDS Ireland acts as Data Processing Agent for the SICAV and operates under delegation from the Registrar & Transfer Agent International Financial Data Services (Luxembourg) S.A.; both companies to be referred to individually and collectively hereinafter as the "Transfer Agent". Please see the Prospectus for further details.

Failure to correctly complete all relevant sections of this Application Form and/or failure to provide the correct client due diligence ("CDD") information/documentation (including but not limited to that required for AML or tax purposes) may result in the rejection of or delay in processing your application and/or the suspension of holdings/payments until the requisite information/documentation is received and is to the satisfaction of the SICAV and/or the Transfer Agent. The SICAV and/or Transfer Agent reserves the right, at its absolute discretion, to require additional information/documentation and/or to refuse to accept any Application Form or instruction to issue, redeem, transfer and/or switch Shares in the Funds if the required documentation for the purpose of adherence to applicable AML, tax or any other laws and regulations is not provided to their satisfaction.

If you require any assistance or clarification in relation to the completion of this Application Form or in relation to anti-money laundering and counter-terrorist financing documentation please contact + 353-1-439-8100.

## Definitions

**Account Holder:** Is the applicant or registered holder of Shares (as the case may be) in the SICAV.

**Funds:** The sub-funds of the SICAV (Luxembourg).

**Key Investor Information Document (KIID):** Available per individual share class for all sub-funds of the SICAV. The KIID is a pre-contractual document containing essential elements of information in order to assist investors to make informed investment decisions.

**All direct clients investing in the SICAV in their own name and behalf (without a financial advisor and/or intermediary) must confirm they have been provided with the most up to date version of the relevant KIID before placing orders for subscription and/or switch of Shares in the SICAV. Failure to confirm they have been provided with the applicable share class KIID before dealing may result in the rejection of or delay in the processing of the relevant deal.**

The latest versions of the KIID(s) shall be available on the Invesco Local Websites accessible through [www.invesco.com](http://www.invesco.com) and as applicable on the Website of the Management Company. Alternatively, you may request paper copies and/or electronic versions of the KIID(s) free of charge by emailing [queries@dub.invesco.com](mailto:queries@dub.invesco.com), by sending a written request to the Management Company or by contacting your local Invesco office.

**If you act as authorised representative of a legal entity investing in the SICAV on its own name and behalf or if you are an individual investing on your own behalf, and you wish to access the most up to date version of the KIID(s) by using the Invesco Local Websites accessible through [www.invesco.com](http://www.invesco.com) and/or as applicable on the Website of the Management Company, please sign the declaration of consent in Section 06 under the title "Legal Declarations".**

**Invesco Local Websites:** Relevant Invesco local websites for certain countries, jurisdictions or regions. For further information, please refer to the Prospectus.

**Legal Documentation:** Are the Prospectus, KIID(s), constitutive documents and the most recent financial reports.

**Management Company:** Invesco Management S.A., a company incorporated in Luxembourg and part of Invesco Ltd.

**Nominee:** Is the entity holding the Shares on behalf of its underlying clients. Such underlying clients are the beneficial owners of the Shares. The nominee is the entity appearing in the SICAV's register and hence considered as the Account Holder for the purposes of this Application Form.

**Prospectus:** The latest Prospectus of the SICAV is available on the Website of the Management Company and, as required by local laws, on the Invesco Local Websites accessible through [www.invesco.com](http://www.invesco.com). Alternatively, please contact your local Invesco office to request a copy of the Prospectus and a copy will be sent to you free of charge. The Management Company strongly recommends you to read and offers you the opportunity to review the Prospectus before making a decision to subscribe, redeem, switch or transfer Shares in a Fund(s).

**Website of the Management Company:** <http://invescomanagementcompany.lu>. This website may contain information on Funds not authorised in your jurisdiction.

**Terms used in this Application Form and not otherwise defined shall have the meaning ascribed to them in the Prospectus.**

## Anti-Money laundering and counter-terrorist financing (Verifying your identity)

In common with other regulated financial institutions, the SICAV and/or Transfer Agent requires all Account Holders to submit the following documentation, in order to comply with anti-money laundering and counter-terrorist financing laws and regulations (hereinafter referred to as "AML and CTF"). Depending on the Account Holder's regulatory status or jurisdiction of incorporation/operation more or less documentation than that described below may be required and the documentation may be required in either original form or as certified true copies<sup>1</sup>. Please contact the SICAV and/or Transfer Agent for more information.

### Individual Account Holder(s)

1. Certified true copy<sup>1</sup> of valid photographic ID bearing both photograph and signature of the Account Holder (e.g. passport or national ID card).
2. Original or certified true copies<sup>1</sup> of one individual proof of residential address (e.g. utility bill), bearing full name of the Account Holder and no older than 6 months.

### Politically exposed persons/ individuals residing in higher risk countries<sup>2</sup>

1. Certified true copy<sup>1</sup> of valid photographic ID bearing both photograph and signature of the Account Holder (e.g. passport or national ID card).
2. Two original individual proofs of residential address (e.g. utility bill). One proof of address must be a bank statement, bearing full name of the Account Holder and no older than 6 months. The bank account details on the statement must

correspond with the details entered in Section 05.b, Payments to Account Holders. Each proof of address must come from a separate source.

3. Documentation proving source of wealth (see Section 02.b.).

## Legal entities Account Holders

### Legal entities regulated as financial service providers in lower risk countries & Companies Listed on Recognised Exchanges<sup>3</sup>

1. Authorised Signatory List
2. Proof of Regulation/Listing
3. All such additional CDD documents as may be required by the SICAV and/or Transfer Agent e.g. A completed Wolfsberg Anti Money Laundering Questionnaire or suitable equivalent.

### All Other Legal Entities including legal entities regulated, incorporated or operating in higher risk countries<sup>2</sup>

1. Authorised Signatory List
2. Constitutional Documents (i.e. Memorandum and Articles of Association, Trust Deed, Partnership Deed, etc)
3. Certified true copy of Certificate of Incorporation<sup>1</sup>
4. Register of Directors
5. Proof of Identification<sup>1</sup>, residential address and date of birth, as well as details of profession and passport citizenship for all Directors and all Authorised Signatories<sup>1</sup>. (Please note proofs of address must be original)
6. A list of names and address for each Shareholder owning 10% or more of the issued share capital of the Account Holder legal entity
7. Verification of all beneficial owners (e.g. Shareholders) who own or control directly or indirectly 25% or more of the issued share capital, profit or voting rights of the Account Holder. If the beneficial owner is an individual please provide all documents applicable to private individual Account Holders (see previous section). If the beneficial owner is a legal entity please provide all documents applicable to all other Legal Entities<sup>1</sup> (see previous section).<sup>4</sup>
8. All such additional documents as may be required by the SICAV and/or Transfer Agent e.g. A completed Wolfsberg Anti Money Laundering Questionnaire or suitable equivalent.

## Note

- Documents addressed to P.O. Box or 'care of' addresses as proof of address will not be accepted.
- 1. Certified true copies of the original documents should be independently certified by a practising Solicitor/Attorney, Notary Public, or EU/EEA Regulated Financial Institution. Copies must be marked "true copy of the original document" and must be stamped, signed and dated by the certifying party.
- 2. Details of higher risk countries are available from the SICAV and/or Transfer Agent
- 3. Details of Recognised Exchanges are available from the SICAV and/or Transfer Agent.
- 4. In case of multiple layers between the Account Holder and its ultimate beneficial owners, documentary evidence of independent and reliable sources confirming the link between multiple layers must be provided.

## Important notes

- The SICAV is regulated by the Commission de Surveillance du Secteur Financier (CSSF) and is a member of the Invesco Group.
- A Reference to specific Acts, Directives, Rules, Regulations and other legislative instruments in the Application Form shall include any amendments to same, from time to time.
- **Telephone calls:** Please note that incoming and outgoing telephone calls may be recorded for legal, regulatory and quality assurance purposes.
- **Governing law:** The SICAV undertakes its activities in accordance with the laws of the Grand Duchy of Luxembourg. Any disputes arising out of the terms of this Application Form are to be governed by the laws of the Grand Duchy of Luxembourg.
- The fees, charges and risks applicable to each Fund are set out in the Prospectus and the relevant KIID(s). Please refer to these documents for further information on the applicable fees, charges and risks.
- **Probate:** In the case of the death of any one of the joint Shareholders, please note that the surviving Shareholder will not automatically be entitled to the Shares. Relevant documentation (including but not limited to death certificate and a grant of probate or grant of administration or equivalent document) will be requested.
- Only for liquidity management purposes and subject to relevant privacy laws, upon request, if you act as an omnibus or a nominee Account Holder, you agree to provide information about the number of underlying investors as well as the percentage each of them represents in the total holding, in order to monitor concentration information on the SICAV.
- **Complaints:** If you wish to make a complaint, you should write to the SICAV at Invesco Transfer Agency, International Data Services, Bishop's Square Redmond's Hill, Dublin 2, Ireland. If a final written response is not to your satisfaction, you may refer your complaint to the CSSF for an out of court resolution of your complaint. Such request may be filed with the CSSF, in writing, within one year of filing the complaint with the SICAV.
- Any change in the list of authorised signatories must be advised as soon as possible otherwise instructions and account queries may not be accepted by the SICAV/Transfer Agent. Account Holders who wish to nominate a third party (brokers, financial adviser or intermediary) to act as an authorised person to give instructions to transact on their behalf and/or receive/request account information should provide a duly signed Power of Attorney duly certified.

## For additional information on this Application Form:

Visit our website: [www.invesco.com](http://www.invesco.com); E-mail: [queries@dub.invesco.com](mailto:queries@dub.invesco.com); contact your local Invesco office.

## Please return this Application Form by post to the office below:

**Invesco Transfer Agency  
International Financial Data Services (Ireland) Limited  
Bishop's Square, Redmond's Hill  
Dublin 2, Ireland**

**Telephone: +353 1 439 8100, Facsimile: +353 1 439 8400  
Offshore Dealing Facsimile: +353 1 439 8200**

## 02 Account Holder(s) details

In the case of a request to change address details, please provide an original written instruction signed by signatories as per the authorised signatory list which has been provided for the Invesco account.

### 02.a. Legal Entities

Registered name

Are you a nominee?

Yes  No

Registered address

  
  


Correspondence address (if different from registered address)

  
  


All legal correspondence to be addressed to the Account Holder will be sent to the registered address.

Tax ID number

Place and date of incorporation

Fax number

Telephone number

E-mail address

Please tick this box if you consent to the receipt of contract notes, statements, dividend statement and transfer confirmations by email only.

Is the Account Holder regulated?

Yes  No

If yes, regulated by

Regulatory number

Please confirm the type of legal entity

Financial institution  Corporate entity  Trust  Investment fund  Partnership  Other

If other, please specify

Designation

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If, the Account Holder wish to open a second or subsequent account with identical registration details, a designation is required to differentiate between such accounts. Designation must take number or letter format (not name) for example Account: 123XYZ (with no more than sixteen characters).

### 02.b. Individuals

All individual Account Holders must be over eighteen years of age.

First Account Holder

Title

Mr  Mrs  Miss  Ms

Other please specify

Second Account Holder

Title

Mr  Mrs  Miss  Ms

Other please specify

Surname/Family name

Surname/Family name

Forename(s)

Forename(s)

Gender

M  F

Gender

M  F

Date of birth (dd mm yyyy)

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Date of birth (dd mm yyyy)

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Place of birth (Town/City, Country)

Place of birth (Town/City, Country)

Residential address (Town/City, Country)

Residential address (Town/City, Country)

Country of residence for tax purposes

Country of residence for tax purposes

Tax ID number

Tax ID number

Telephone number

Telephone number

Fax number

Fax number

Email address

Email address

Please tick this box if you consent to the receipt of contract notes, statements, dividend statements and transfer confirmations by email only.

Please tick this box if you consent to the receipt of contract notes, statements, dividend statements and transfer confirmations by email only.

Passport place of issue

Passport place of issue

Passport number

Passport number

Account Holder's occupation

Account Holder's occupation

If you are currently retired, please confirm your occupation prior to retirement.

If you are currently retired, please confirm your occupation prior to retirement.

Account Holder's source of wealth

Please indicate the source of wealth to be invested with Invesco

- Gift       Savings       Pension  
 Inheritance       Sale of business       Investment income

Account Holder's source of wealth

Please indicate the source of wealth to be invested with Invesco

- Gift       Savings       Pension  
 Inheritance       Sale of business       Investment income

Sale of Assets  
(please specify)

Sale of Assets  
(please specify)

Other  
(please specify)

Other  
(please specify)

Joint Account Holders, please demonstrate your preferred signing authority by ticking one of the following:

- One Account Holder may sign any trade instruction and/or request the change on our account details on behalf of all Account Holders
- All Account Holders must sign any trade instruction and/or request the change on our account details.

If neither box is ticked for signing authority, the authority will default to option 2 above.

## 03 Ultimate Beneficial Ownership

This section must be completed by the ultimate Beneficial Owner(s) (defined by the law of 12 November 2004 (as amended) as the natural person(s) who own(s) or control(s) directly or indirectly 25% or more of the issued share capital, profits, or voting rights in the legal entity Account Holder or the natural person on whose behalf the account is opened). If the Account Holder is a regulated Financial Services Provider in an EU/EEA/Equivalent Country or listed on a Regulated Exchange please proceed to Section 04.

### Ultimate Beneficial Owner 1

Name

Date of birth (dd mm yyyy)

<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
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Place of birth

Residential address of beneficial owner

  
  


Telephone number

Fax number

E-mail address

### Ultimate Beneficial Owner 2

Name

Date of birth (dd mm yyyy)

<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
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Place of birth

Residential address of beneficial owner

  
  


Telephone number

Fax number

E-mail address

## 04 Politically Exposed Persons\*

Please indicate whether the Account Holder, the ultimate beneficial owner or any immediate family member or close associate, is a Politically Exposed Person. Politically Exposed Person is defined by the law of 12 November 2004 (as amended), as "natural persons who are or have been entrusted with prominent public functions and immediate family members, or persons known to be close associates, of such persons".

No  Yes

If "Yes" please complete the following information with respect to the person(s) entrusted with the public function:

Full legal name

Relationship with Account Holder (if applicable)

Jurisdiction

Title/position

Date of appointment (dd/mm/yy)

Duration (years)

Full legal name

Relationship with Account Holder (if applicable)

Jurisdiction

Title/position

Date of appointment (dd/mm/yy)

Duration (years)

Full legal name

Relationship with Account Holder (if applicable)

Jurisdiction

Title/position

Date of appointment (dd/mm/yy)

Duration (years)

\* should you wish to add information regarding additional Politically Exposed Persons, please use a separate page.

## 05 Managing the Account Holder's account

### Currency of statements

USD  GBP  HKD  EUR  JPY  CHF  SEK  AUD  NZD  CAD  SGD  CZK

### Frequency of statements

Monthly  Quarterly  Semi-annually  Annually

If you do not select from one of the aforementioned options you will receive all statements in USD on a quarterly basis.

### Deal Instruction methods

De-authorisation of telephone dealing

Once an Account Holder is registered and has been provided with an Invesco Shareholder Identification Number, subsequent dealing transactions for subscription, redemption and/or switch may be made by telephone, facsimile or in writing. **Should you wish to prohibit telephone dealing on your account and request that deals shall be placed only on receipt of written instructions (by facsimile/in writing) please tick this box.**

## 05.a Payments to Invesco

Subscription payments will not be accepted from third parties. Please note that in respect of the currencies listed in this Section 05, the SICAV and/or Transfer Agent may arrange for conversion of subscription amounts and redemption proceeds into or out of the base currency of the relevant Fund(s). Such conversions will be applied to each deal at competitive rates.

### Method of payment

Electronic Funds Transfer (EFT) - Transfers should be drawn on a bank account in the name of the registered Account Holder(s). Please ensure you quote the Invesco Shareholder Identification Number and full contract reference (if known). Alternatively provide the full name of first registered Account Holder or your payment may be rejected. Payments should be made to:

**USD** J.P. Morgan Chase Bank, New York  
 SWIFT: XXXX  
 Account Name: INVESCO FUNDS SICAV USD  
 Account Number: XXXX

**GBP** JP Morgan Chase Bank NA, London  
 Correspondent Swift BIC: XXXX  
 Bank: JP Morgan Bank (Ireland) PLC, Dublin  
 Bank Swift BIC: XXXX  
 Account Name: INVESCO FUNDS SICAV GBP  
 IBAN: XXXX

**HKD** J.P. Morgan Chase Bank, Hong Kong  
 SWIFT: XXXX  
 Account Name: INVESCO FUNDS SICAV HKD  
 Account Number: XXXX

**EUR** J.P. Morgan AG, Frankfurt  
 SWIFT: XXXX  
 Account Name: INVESCO FUNDS SICAV EUR  
 Account Number: XXXX

**JPY** J.P. Morgan Chase Bank, Tokyo  
 SWIFT: XXXX  
 Account Name: INVESCO FUNDS SICAV JPY  
 Account Number: XXXX

**CHF**  
 Bank: JP Morgan Chase Bank, Zurich  
 SWIFT/Sort Code: XXXX  
 Account number: XXXX  
 IBAN: XXXX  
 For the account of: INVESCO FUNDS SICAV CHF

**CZK**  
 To: CESKOSLOVENSKA OBCHODNI BANKA AS, PRAGUE  
 SWIFT: XXXX  
 For benefit: JP Morgan Chase Bank, London  
 SWIFT: XXXX  
 Account number: XXXX  
 IBAN: XXXX  
 For the account of: INVESCO FUNDS SICAV CZK

**SEK**  
 To: Svenska Handelsbanken, Stockholm, SWIFT: HANDSESS  
 For benefit: JP Morgan Chase Bank, London  
 SWIFT: XXXX  
 Account number: XXXX  
 For the account of: INVESCO FUNDS SICAV SEK

**AUD**  
 To: Australia and New Zealand Banking Group Limited, Melbourne, SWIFT: ANZBAU3M  
 For benefit: JP Morgan Chase Bank, London  
 SWIFT: XXXX  
 Account number: XXXX  
 For the account of: INVESCO FUNDS SICAV AUD

**NZD**  
 To: Westpac Banking Corp, Wellington, SWIFT: WPACNZ2W  
 For benefit: JP Morgan Chase Bank, London  
 SWIFT: XXXX  
 Account number: XXXX  
 For the account of: INVESCO FUNDS SICAV NZD

**CAD**  
 To: Royal Bank Of Canada, Toronto, SWIFT: ROYCCAT2  
 For benefit: JP Morgan Chase Bank, London  
 SWIFT: XXXX  
 Account number: XXXX  
 For the account of: INVESCO FUNDS SICAV CAD

**SGD**  
 To: Oversea-Chinese Banking Corporation Limited, Singapore, SWIFT: OCBCSGSG  
 For benefit: JP Morgan Chase Bank, London  
 SWIFT: XXXX  
 Account number: XXXX  
 For the account of: INVESCO FUNDS SICAV SGD

### Source of funds - Your bank details

Bank account details from which funds will be received for subscriptions deals.

Bank account number

Swift/Sort code

Bank account name and address

  
  

IBAN

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## 05.b Payments to Account Holders

Payments will not be made to third parties. In the case of a request to change bank details, please provide an original written instruction signed by authorised signatories of the Account Holder.

### Bank details

Please contact your bank if you do not know your SWIFT/sort code. SWIFT and IBAN are required for all payments within the EU/EEA. A pay through bank is required when the currency is being paid to a country other than the country of origin e.g. USD being paid to the UK must be routed through a bank based in the USA and then onward to the UK Bank.

Bank name

Swift/Sort code

Bank address

  

Country

Account name (up to 18 characters)

Account number

IBAN (Not applicable to Sterling Dividend Payments)

Pay through bank

Swift/Sort code

Pay through bank address

  

Currency

USD    GBP    HKD    EUR    JPY    CHF    SEK    AUD    NZD    CAD    SGD    CZK

### Dividend: Reinvest or Income

- Please tick this box if you wish for any dividend interest arising to be paid to you by Electronic Funds Transfer (EFT) to your bank details above (If bank details differ, please provide your bank details in a separate page).  
**If you do not select the above option, your dividend will be automatically reinvested and applied in the purchase of further Shares of the relevant class of Shares.**

## 06 Declarations

### 06.a Legal Declarations

In addition to the declarations provided in Section 07 and 09 below in respect of which my/our signature(s) are appended, I/we also declare on my/our own behalf and on behalf of the Account Holder the following matters:

- 1 I/We declare, represent and warrant that I/we have the legal capacity and authority to execute this Application Form and, where applicable, to subscribe for, redeem, transfer and/or switch Shares of the SICAV on behalf of the Account Holder. I/We further declare, represent and warrant that the Account Holder is legally entitled to the Shares in respect of which declarations contained in this Application Form are made. All declarations contained herein will apply to the Account Holder and will be made in respect of any subsequent orders and based on the then current Legal Documentation.
- 2 I/We declare that the sole purpose and intended nature of the business relationship between the Account Holder, and the SICAV and/or the Management Company or Sub-Distributors and/or the Funds as applicable is to invest in Shares in the SICAV and the money to be invested does not constitute proceeds of any criminal conduct of whatsoever nature, and in particular proceeds of money laundering and terrorist financing.
- 3 I/We acknowledge that (a) this Application Form is a written application which shall be binding upon its receipt and acceptance by the SICAV and/or Transfer Agent; and (b) the application for subscribing, redeeming, transferring and/or switching Shares in the SICAV, together with this Application Form or otherwise, shall not constitute acceptance by the SICAV and/or Transfer Agent who reserve the right to reject any such application at their absolute discretion.
- 4 The SICAV intends to make the latest annual reports available on Invesco's internet sites. I/We acknowledge that these latest annual reports and any subsequent semi annual reports are available free of charge on request and can be obtained on the Website of the Management Company and, as required by local laws, on the Invesco Local Websites accessible through [www.invesco.com](http://www.invesco.com). I/We consent to being provided with such reports via the websites and not addressed to me/us personally. I/We further confirm that the medium of a website is appropriate in the context of the business between the Account Holder and the SICAV and/or Management Company.
- 5 If dealing instructions are to be received from your financial advisor/intermediary, the SICAV and/or the Transfer Agent will need to receive the required authorisation (i.e. duly signed power of attorney) satisfactory to them. Unless expressed otherwise, I/We acknowledge that the SICAV and/or Transfer Agent may provide account information for legitimate business purposes only, such as transaction history to my/our appointed financial advisor/intermediary in relation to my/our account.
- 6 I/We hereby acknowledge that the Management Company, the SICAV and their delegates on their behalf may disclose information regarding the financial advisor/intermediary or any Account Holder to any person, tax authority or governmental entity to whom information is required or requested to be disclosed and only to comply with any applicable law or regulation.
- 7 I/We hereby authorise the SICAV and/or Transfer Agent to accept and act in accordance with telephone (if applicable) and fax trade instructions on my/our/Account Holder account. I/We understand that any such instructions are given at my/our and the Account Holder's own risk and the SICAV and/or Transfer Agent accepts no responsibility and shall be under no obligation to verify the authenticity of any instructions given pursuant to this Application Form whether given by telephone, fax, or in writing. I/We understand that the SICAV and/or Transfer Agent and its agents routinely record instructions given by telephone. The SICAV and/or the Transfer Agent will continue to act in accordance with this authorisation until such time as termination in writing on behalf of the Account Holder is received by the SICAV and/or the Transfer Agent and reasonable notice is given to act upon it.
- 8 I/We hereby acknowledge that I/we have read and understood the Privacy Notice which is set out in Section 08 of this Application Form.
- 9 I/We on my/our own behalf and on behalf of the Account Holder hereby consent to the disclosure of my/our and/or the Account Holder's confidential information (which may include personal data) to third parties (including our banking service providers, their affiliates, agents and/or their service providers, such as JP Morgan Chase Bank) and further processing of such information by those third parties for the purposes of complying with applicable confidentiality, banking or professional secrecy laws (including Article 41 of the Luxembourg law of 5 April 1993 on the financial sector).  
I/We on my/our own behalf and on behalf of the Account Holder acknowledge that:
  - such third parties may be situated in countries which may not have data protection requirements deemed equivalent to those prevailing in the European Economic Area;
  - this consent is a pre-condition of investing in the Funds and receiving your Services, so although I/we have the right to withdraw this consent at any time, if I/we do so or I/we do not give this consent, I/We/the Account Holder will not be able to invest in/receive payments from the Funds and/or make use of your Services.The withdrawal of my/our/the Account Holder's consent shall not affect the lawfulness of processing based on the consent before its withdrawal. To withdraw this consent, please contact [queries@dub.invesco.com](mailto:queries@dub.invesco.com).
- 10 I/We and the Account Holder hereby agree to abide by the applicable restrictions to the relevant share class(es), including but not limited to minimum initial subscription amount and minimum shareholding as outlined in the Prospectus.
- 11 If the Account Holder has elected or should you elect in the future to avail of electronic shareholder communication, please note that e-mail messages are not secure and may contain computer viruses or other defects, may not be accurately replicated on other systems or may be intercepted, deleted or interfered with without the knowledge of the sender or the intended recipient. The Management Company, the SICAV, the Transfer Agent and their delegates make no warranties in relation to these matters, do not accept liability for the interception, redirection, corruption, copying, reading, tampering or loss of confidentiality which may take place for the use of electronic communication and have no duty to verify the recipient of the message is, in fact, the intended recipient as long as the information is sent to the e-mail address on record.



**12 Declaration of consent to access Legal Documentation using the Website of the Management Company and as applicable the Invesco Local Websites accessible through www.invesco.com (applicable to Account Holders acting on their own behalf)**

1. I/We declare that I/we intend to subscribe for Shares in the SICAV on my/our/the Account Holder's behalf and that I am/We are/the Account Holder is entitled to subscribe for such Shares in respect of which this declaration is made.
2. I/We confirm the declarations contained herein will apply to my/our/the Account Holder's subsequent orders in the SICAV.
3. I/We hereby further declare and confirm that I/we have been informed that all Legal Documentation relating to the SICAV is available on the Website of the Management Company and as applicable on the Invesco Local Websites accessible through www.invesco.com. I/We acknowledge that such Legal Documentation can be downloaded electronically free of charge.
4. I/We acknowledge that the Legal Documentation found on the Website of the Management Company and as applicable the Invesco Local Websites accessible through www.invesco.com will be updated by Invesco from time to time as necessary and declare and confirm that I/we will take the utmost care to ensure I/we/the Account Holder have obtained the most up to date version of the Legal Documentation and in particular the relevant KIID(s) before placing a deal.
5. I/We confirm that I/we/the Account Holder have been informed of the choice to receive paper copies and/or electronic versions via e-mail of such Legal Documentation, free of charge and upon written request.

I/We, on behalf of the Account Holder, consent to the provision of the relevant Legal Documentation via the Website of the Management Company and as applicable the Invesco Local Websites accessible through www.invesco.com to me/us, on behalf of the Account Holder, and I/we confirm that I/we, on behalf of the Account Holder, will access, from such websites, the relevant KIID(s) before placing a deal.

Name of the Account Holder

Name of the person(s) authorised to give this declaration

Title(s)

Signature(s)

E-mail address(es)

(please note the provision of an e-mail address is required to consider this declaration of consent as valid)

- 13 I/We hereby agree on behalf of the Account Holder to hold harmless the SICAV, Management Company, Depository, Invesco Sub-Distributors, and Transfer Agent of the Fund(s) or any of them in relation to any losses or expenses incurred, as a result of any of them acting pursuant to the above considerations, save where such losses or expenses arise from fraud, negligence or willful misconduct of such parties.

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## 06.b Residency declarations

### Non-US person declaration

- I/We declare that the Account Holder is **not** a US Person as defined in the current Prospectus or to the best of our knowledge is not applying for Shares for the benefit or account of a US Person(s).

### Declaration regarding Canadian Residency (you must select a or b)

- a) I/We confirm that the Account Holder is **not** a Canadian resident as described in the Prospectus and will not acquire Shares on behalf of, or for the benefit of, a Canadian resident nor do we intend selling or transferring any Shares which we may purchase to any person who is a Canadian resident.
- b) I/We confirm that the Account Holder is a Canadian resident as described in the Prospectus or and is able to demonstrate and certify that they are able to purchase Shares and are "Accredited Investors". I/We further confirm that in case the Account Holder is an intermediary, it will not invest on behalf of a Canadian resident. On ticking this box, please note additional information will be required, therefore please contact the SICAV and/or the Transfer Agent before submitting this Application Form.

### Declaration regarding Indian residency (you must select a or b)

- a) I/We declare that the Account Holder is **not** Indian resident or Non-Resident Indian(s) (NRI) (as defined in applicable Indian Laws and Regulations) and/or the Account Holder is/are not to the best of my/our knowledge and belief purchasing Shares on behalf of a person(s)/entity(ies) resident in India or a Non-Resident Indian. I/We declare that should the Account Holder becomes an Indian resident or a Non-Resident Indian or if I/we become aware that the Account Holder is investing on behalf of Indian resident(s) or Non-Resident Indian(s), I/we will so inform you, in writing, accordingly.
- b) I/We declare that the Account Holder is an Indian resident or Non-Resident Indian(NRI) (as defined in applicable Indian Laws and Regulations) and/or the Account Holder is purchasing Shares on behalf of person(s)/entity(ies) resident in India or a Non-Resident Indian. On ticking this box, I/we acknowledge that the Account Holder(s) is/are prevented from subscribing in or switching into the following Funds: Invesco India All-Cap Equity Fund, Invesco India Equity Fund and Invesco India Bond Fund.

## 07 Tax declarations

### 07.a Entity Self-Certification for FATCA and CRS

#### Instructions for completion by the Account Holder

Investors that are individuals or Controlling Persons of Legal Entities should not complete this form and should complete the form entitled "Individual (Self-Certification for FATCA and CRS)" in Section 07.b).

Tax regulations implementing the US Foreign Account Tax Compliance Act (FATCA) and the OECD Common Reporting Standard for Automatic Exchange of Financial Account Information (CRS) in Luxembourg require us to collect certain information about each investor's tax residency status. Please complete the sections below as directed and provide any additional information/documentation that is requested. Please note that in certain circumstances as laid down in FATCA and CRS, we may be legally obliged to share this information (such as name, address, tax residence(s) TIN(s)), and other financial information (such as account number, account balance or value, and certain gross amounts paid or credited to the Account Holder) with respect to holder(s) of financial accounts (referred to in this form as "Account Holders") in the Fund(s), with relevant tax authorities in the United States and/or in the jurisdictions listed in section 4 below as a measure to achieve a global and coordinated approach to the automatic disclosure of income earned by individuals and organisations and counter tax evasion. This form is intended to request information only where such request is not prohibited by Luxembourg law(s).

As a Financial Institution we are not allowed to give tax advice. If you have any questions about this form or defining the Account Holder tax residency status, please refer to the OECD CRS Portal or speak to a tax adviser.

If any of the information about the Account Holder's tax residence or FATCA/CRS classification changes in the future, please ensure that we are advised of these changes as soon as possible. For further information on FATCA or CRS please refer to the website of the Administration des Contributions Directes at [http://www.impotsdirects.public.lu/fr/echanges\\_electroniques.html](http://www.impotsdirects.public.lu/fr/echanges_electroniques.html)

For further information on CRS only (including the list of jurisdictions that have signed agreements to automatically exchange information) please refer to the OECD automatic exchange of information portal at <http://www.oecd.org/tax/automatic-exchange/>

Mandatory fields are marked with an \*

#### Section 1: Account Holder Identification

Account Holder's Name\* (the "Entity")

Country of Incorporation or Organisation

Registered address\*

Number

Street

City/Town

State/Province

Postal Code

Country

Correspondence address (if different from above)

Number

Street

City/Town

State/Province

Postal Code

Country

#### Important Notes for Section 07.a:

- Account Holder: The person identified in the SICAV register as the holder of a financial account. Please note that if the person opening the account is not a Financial Institution and is acting as an intermediary of other person(s), that other person(s) will be considered as the Account Holder(s).
- Please note that by completing this self-certification you are providing personal information which may constitute personal data within the meaning of the applicable data protection laws and regulations. For further information regarding data protection, please refer to the Privacy Notice in Section 08 of this Application Form.
- Financial Institution: means the Custodial Institution, Depository Institution, an Investment Entity or a Specified Insurance Company as defined in the relevant FATCA/CRS laws and regulations.
- Controlling Person: means the natural person(s) who exercise control over an Entity. In the case of trust, such term means the settlor(s), the trustee(s) (if any), the beneficiary(ies) or class(es) of beneficiaries, and any other natural person(s) exercising ultimate effective control over the trust, and in the case of a legal arrangement other than a trust, such term means persons in equivalent or similar positions. The term "Controlling Persons" must be interpreted in a manner consistent with the Financial Action Task Force Recommendations.



**Section 4: CRS Declaration of Tax Residency\***

All terms identified in sections 4 and 5 in italics are as defined in Section VIII (Defined Terms) of the Standard for Automatic Exchange of Financial Account information issued by the OECD dated 21 July 2014 (the "CRS Standard") that you can find at: <http://www.oecd.org/tax/automatic-exchange/common-reporting-standard/common-reporting-standard-and-related-commentaries/#d.en.345314>

If TIN is unavailable, please provide the appropriate reason A,B or C where appropriate:

Reason A - The country where the Account Holder is liable to pay tax does not issue TIN's to its residents.

Reason B - The Account Holder is otherwise unable to obtain a TIN or equivalent number (explain the reason).

Reason C - No TIN is required. (Note: only select this reason if the authorities of the country of tax residence entered below do not require the TIN to be disclosed).

	Country of Tax Residence	Tax ID Number (TIN)	TIN unavailable (please select A, B or C)
1	<input type="text"/>	<input type="text"/>	<input type="text"/>
2	<input type="text"/>	<input type="text"/>	<input type="text"/>
3	<input type="text"/>	<input type="text"/>	<input type="text"/>

Please explain in the following boxes why you are unable to obtain a TIN if you selected reason B above:

1

2

3

**Notes:**

A. Please indicate the Entity's place of tax residence for CRS purposes and associated tax identification numbers (TIN's) for each country indicated.

B. If the Account Holder is not tax resident in any jurisdiction (e.g., because it is fiscally transparent), please indicate that below and provide its place of effective date of management or country in which its principal office is located.

**Section 5: Entity's CRS Classification\***

The information provided in this section is for CRS only. Please note your Entity's CRS classification may differ from your FATCA classification in section 3.

**Section 5.1: Financial Institutions under CRS:**

5.1.1. A Reporting Financial Institution resident in a participating CRS jurisdiction.

5.1.2. If the Entity is a Financial Institution resident in a Non-Participating Jurisdiction please tick one of the below categories:

- I. An Investment Entity located in a Non-Participating Jurisdiction and managed by another Financial Institution (If this box is ticked, please complete an Individual Self-Certification for each Controlling Person. )
- II. An Investment Entity resident in a Non-Participating Jurisdiction that is not managed by another Financial Institution.
- III. Other Financial Institution under CRS (other than I or II above).

5.1.3. Non-Reporting Financial Institution under CRS. Please indicate the type of Non-Reporting Financial Institution ((i) Governmental Entities, (ii) International Organization, (iii) Central Bank, (iv) Broad Participation Retirement Fund, (v) Narrow Participation Retirement Fund, (vi) Qualified Credit Card Issuers, (vii) Exempt Collective Investment Vehicles, (viii) Trust whose trustee reports all required information or (ix) Other entity defined under the domestic law as low risk of being used to evade tax (Specify the type provided in the domestic law):

**Section 5.2 Non Financial Institutions under CRS:**

If the Entity is a Non-Financial Institution, please tick one of the below categories

- I. Active Non-Financial Entity - a corporation the stock of which is regularly traded on an established securities market or a corporation which is a related entity of such a corporation
- II. Active Non-Financial Entity (Active NFE) - a Related Entity of a regularly traded corporation. Please provide the name of the regularly traded corporation that the Entity is a Related Entity of:

Please provide details of the securities market that the entity is listed on:

- III. Active Non-Financial Entity (Active NFE) - a Government Entity or Central Bank
- IV. Active Non-Financial Entity (Active NFE) - an International Organisation
- V. Active Non-Financial Entity (Active NFE) - other than (I to IV above) (for example a start-up NFE or a non-profit NFE)
- VI. Passive Non-Financial Entity (Passive NFE) (If this box is ticked, please complete an Individual Self-Certification for each Controlling Person).

**Section 6: Declaration and Undertakings**

I/We declare (as an authorised signatory of the Entity) that the information provided in this form is, to the best of my/our knowledge and belief, accurate and complete. I/We acknowledge that the information contained in this form and information regarding the Account Holder may be reported to the tax authorities in Luxembourg (Administration des Contributions Directes), and exchanged with tax authorities in the United States and/or tax authorities in another country(ies) listed in section 4 in which the Account Holder may be tax resident where those countries have entered into agreements to exchange financial account information.

If you are completing the form on the Account Holder's behalf, then you should indicate the capacity in which you are signing.

I/We acknowledge that answering questions related to the information disclosed in this form is mandatory. Failure to provide the requested information may result in the Account Holder's account to be considered as reportable.

I/We undertake to advise the recipient as soon as possible and provide an updated Self-Certification where any change in circumstance occurs which causes any of the information contained in this form to be incorrect.

**Authorised signature(s)\***

**Print name(s)\***

**Capacity in which declaration is made**

**Date: (dd/mm/yyyy)**

## 07.b Individual Self-Certification for FATCA and CRS (also applicable for Controlling Persons of Legal Entities)

### Instructions for completion by the Individual Account Holder

Investors that are legal entities should not complete this form and should complete the form entitled "Entity Self-Certification for FATCA and CRS" in Section 07a.

Tax regulations implementing the US Foreign Account Tax Compliance Act (FATCA) and the OECD Common Reporting Standard for Automatic Exchange of Financial Account Information (CRS) in Luxembourg require us to collect certain information about each investor's tax residency status. Please complete the sections below as directed and provide any additional information/documentation that is requested. Please note that in certain circumstances, as laid down in FATCA and CRS, we may be legally obliged to share this information such as name, address, date of birth, place of birth, jurisdiction of tax residence and tax identification number (TIN) and other financial information (such as account number, account balance, any payments including redemption and dividend/interest payments and certain gross proceeds paid or credited to the Account Holder) with respect to holder(s) of financial accounts (referred to in this form as "Account Holders") in the SICAV, with relevant tax authorities in the United States and/or in the jurisdictions listed in section 3 below. This form is intended to request information only where such request is not prohibited by Luxembourg law(s) as applicable.

As a Financial Institution, we are not allowed to give tax advice. If you have any questions about this form or defining the Account Holder tax residency status, please refer to the OECD CRS Portal or speak to a tax adviser.

For further information on FATCA or CRS please refer to the website of the Administration des Contributions Directes at [http://www.impotsdirects.public.lu/fr/echanges\\_electroniques.html](http://www.impotsdirects.public.lu/fr/echanges_electroniques.html)

For further information on CRS only (including the list of jurisdictions that have signed agreements to automatically exchange information) please refer to the OECD automatic exchange of information portal at <http://www.oecd.org/tax/automatic-exchange/>

If any of the information below about your/the Account Holder tax residency status or FATCA/CRS classification changes in the future, please advise of these changes as soon as possible.

Where there are joint or multiple Account Holders, each Account Holder is required to complete a separate Self-Certification form.

**Mandatory fields are marked with an \***

### Section 1: Account Holder Identification

**Account Holder's Name\***

**Residential address\***

**Number**

**Street**

**City/Town**

**State/Province**

**Postal Code**

**Country**

**Date of Birth (DD/MM/YYYY)\***

**Town/City of Birth\***

**Country of Birth\***

### Section 2: FATCA Declaration of U.S. Citizenship or U.S. Residence for Tax purposes\*

Please tick either (a) or (b) below and complete as appropriate.

- (a) I confirm that I am/the Account Holder is a U.S. citizen and/or resident in the U.S. for tax purposes and my U.S. federal taxpayer identification number U.S. TIN is as follows:

- (b) I confirm that I am not/the Account Holder is not a U.S. citizen or resident in the U.S. for tax purposes.

### Note for Controlling Persons of entity Account Holders:

Please provide the information requested in this section 2. ONLY if you have indicated that the Account Holder is for FATCA purposes a Passive NFFE under section 3.4 (II) of the Entity Self-Certification for FATCA and CRS.

**Section 3: CRS Declaration of Tax Residency**

Please indicate your/the Account Holder's place of tax residence for CRS purposes and associated tax identification numbers (TIN's) for each country indicated. If TIN is unavailable, please provide the appropriate reason A,B,C where appropriate:

Reason A - The country where we are liable to pay tax does not issue TIN's to its residents.

Reason B - The Account Holder is otherwise unable to obtain a TIN or equivalent number (explain the reason).

Reason C - No TIN is required. (Note: only select this reason if the authorities of the country of tax residence entered below do not require the TIN to be disclosed).

	Country of Tax Residence	Tax ID Number (TIN)	TIN unavailable (please select A, B or C)
1	<input type="text"/>	<input type="text"/>	<input type="text"/>
2	<input type="text"/>	<input type="text"/>	<input type="text"/>
3	<input type="text"/>	<input type="text"/>	<input type="text"/>

Please note each jurisdiction has its own rules for defining tax residence. In general, tax residence is the country in which you/the Account Holder lives. The country(ies) in which you pay income tax is/are likely to be your/the Account Holder country(ies) of tax residence. However, special circumstances (such as working overseas) may cause you to be resident elsewhere. For more information on tax residence, please consult your tax adviser.

**Please explain in the following boxes why you are unable to obtain a TIN if you selected reason B above:**

1	<input type="text"/>
2	<input type="text"/>
3	<input type="text"/>

**Section 3a**

Please provide the information requested in this Section 3.a. ONLY if you have indicated that the Account Holder is for CRS purposes a Passive NFE or an investment entity managed by a financial institution with a tax residence in a non-CRS jurisdiction under section 5.1.2(I) or 5.2.(VI) of the Entity Self-Certification for FATCA and CRS.

**Controlling Person's type**

**Legal Person**

Control by Ownership       Control by Other Means       Senior Managing Official

**Legal Arrangement - Trust**

Settlor       Trustee       Protector       Beneficiary       Other

**Legal Arrangement - Other (non trust)**

Settlor Equivalent       Trustee Equivalent       Protector Equivalent       Other Equivalent       Beneficiary Equivalent

For further information on Identification requirements under CRS for Controlling Persons, see the Commentary to Section VIII of the CRS Standard that you can find at: <http://www.oecd.org/tax/automaticexchange/common-reporting-standard/common-reporting-standard-and-related-commentaries/#d.en.345314>

#### Section 4: Declarations and Undertakings

I declare that the information provided in this form is, to the best of my knowledge and belief, accurate and complete. I acknowledge and agree that the information contained in this form and information regarding the Account Holder(s) or relevant Controlling Persons may be reported to the tax authorities in Luxembourg (Administration des Contributions Directes) and exchanged with tax authorities in the United States and/or tax authorities of another country or countries listed in section 3 in which the Account Holder/Controlling Person may be tax resident where those countries (or tax authorities in those countries) have entered into Agreements to exchange financial account information.

I undertake to advise the recipient as soon as possible and provide an updated Self-Certification form where any change in circumstances occurs which causes any of the information contained in this form to be incorrect.

If you are completing the form on the Account Holder's behalf, then you should indicate the capacity in which you are signing.

##### Protection of personal data

Each of the Funds of the SICAV is a Reporting Financial Institution under CRS. The SICAV is the data controller and the entity responsible for the processing of your personal data. This personal data will be processed under the guarantee of secure, limited and controlled access, in accordance with Common Reporting Standard (CRS) implemented in Luxembourg under Luxembourg Law of 18 December 2015 ("CRS Law") and the applicable data protection legislation in Luxembourg. Your personal data are intended to be processed for the purposes provided for in CRS Law and will be forwarded to Luxembourg Tax Authority, Administration des Contributions Directes ("ACD"), which may share it with the relevant tax authority of the Account Holder's resident country, if that is a CRS Participating Jurisdiction. Please note that answering questions asked by the SICAV is compulsory. Failure to provide the requested information may result in your account/the Account Holder's account to be considered as reportable. Individuals have the right to access their personal data that is transferred to the ACD and the right to have their personal data rectified if incorrect. The individual may exercise these rights by contacting us in writing at the address of the Management Company or at [emea.privacy@invesco.com](mailto:emea.privacy@invesco.com). More information about how we process your personal data can be found in the Prospectus and in this Application Form (Section 06.a (Legal Declarations) and Section 08 (Privacy Notice)).

Authorised Signature\*

Print name\*

Date\* (dd/mm/yyyy)

Capacity\*

##### Important Notes:

- Financial Institution: means the Custodial Institution, Depository Institution, an Investment Entity or a Specified Insurance Company as defined in the relevant FATCA/CRS laws and regulations.
- Account Holder: The person identified in the SICAV register as the holder of a "financial account". Please note that if the person opening the account is not a Financial Institution and is acting as an intermediary of other person(s), that other person(s) will be considered as the Account Holder(s).
- For further information regarding data protection, please refer to the Privacy Notice in Section 08 and to the Legal Declarations in Section 06.a of this Application Form.
- Controlling Person: means the natural person(s) who exercise control over an Entity. In the case of trust, such term means the settlor(s), the trustee(s) (if any), the beneficiary(ies) or class(es) of beneficiaries, and any other natural person(s) exercising ultimate effective control over the trust, and in the case of a legal arrangement other than a trust, such term means persons in equivalent or similar positions. The term "Controlling Persons" must be interpreted in a manner consistent with the Financial Action Task Force Recommendations.

## 08 Privacy Notice

### Who are we?

Your privacy is very important to us. This privacy notice ("Privacy Notice") is provided by Invesco Funds (the "SICAV") and Invesco Management S.A., the Management Company (collectively, "we", "us" or "Invesco"). We are each controllers of your personal data under applicable data protection laws, including, from 25 May 2018, the General Data Protection Regulation ("GDPR"). We, as controllers, determine why and how we collect and use your personal data.

### What is the purpose of this Privacy Notice?

When you invest in the SICAV or otherwise interact with us, we collect information about you which constitutes personal data under the GDPR. This Privacy Notice explains how we collect, use, share and protect your personal data. The capitalised terms used in this Privacy Notice are as defined in the Prospectus and/or in the Application Form. Please read this Privacy Notice carefully to understand what we do with your personal data. We may amend this Privacy Notice from time to time and if we make any material changes, we will make the updated Privacy Notice available to you on the Website of the Management Company or by other means. The date at the top of this Privacy Notice indicates from when this Privacy Notice applies.

### Whose personal data do we collect?

We collect and process personal data about individuals who may be current, prospective and former (i) individual investors, their immediate family members or close associates, (ii) individual intermediaries (e.g. financial advisors) and (iii) representatives, directors, officers, authorised signatories, employees, agents and ultimate beneficial owners of entity intermediaries, Shareholders and institutional investors (collectively "you").

### What kind of personal data do we collect about you?

We collect from you personal data such as your name, date of birth, residential and email address, passport number, bank account details, debit card details, details of your investments, transaction and/or income information, country of residence for tax purposes, as well as any other information we ask you to provide in the Application Form, related documents and/or on our website.

### How do we collect your personal data?

We may collect your personal data when you (i) provide it to us in the Application Form, related documents and/or on our website; (ii) provide it to us in correspondence and conversations with our representatives and intermediaries; (iii) make transactions with the SICAV, such as when you purchase Shares from us; or (iv) provide your personal data to us in response to our anti-money laundering (AML), counter-terrorist financing (CTF) and know-your-customer (KYC) requests. If you use our websites or otherwise interact with us online, we may also collect certain information automatically from your computer or device. This information (e.g. cookies, IP addresses) may be considered personal data under applicable laws. Our online privacy notice and cookie policy are available on the Website of the Management Company and provide you with further information about how we use your personal data we collect online.

### Do we collect personal data about you from third parties?

We also may collect your personal data such as your name, residential address, and other contact or authentication information from third party sources e.g. from your financial advisor, the organisation you represent, credit reference agencies, authorities, other legal entities and individuals (e.g. if you are not a Shareholder, then from the Shareholder) and publicly accessible sources e.g. to establish and verify your identity to carry out AML, CTF and KYC checks or to find out your contact details if we are unable to contact you.



### How will we use your personal data?

This section of this Privacy Notice explains for what purposes we use your personal data and on which legal bases we rely, to ensure that we process your personal data lawfully. Our legal bases for collecting and using your personal data will include:

- a) to perform our contract with you when you act as an intermediary or invest in the SICAV e.g. to verify your identity, open your account, manage and administer your holdings in your account, including processing your subscriptions and redemptions, maintaining the Shareholder registers and providing financial and other information to you;
- b) to comply with our legal obligations, including those laid down in tax and company laws (including for the purposes of complying with FATCA/CRS as well as AML/CTF Laws and Regulations, and screening against sanctions lists);
- c) to pursue our or a third party's (such as our affiliates, service providers, agents or delegates) legitimate interests which do not override your interests or fundamental rights and freedoms, such as: (i) recovering debts owed by you to us; (ii) mitigating business and operational risks; (iii) complying with internal policies; (iv) sharing your personal data within the Invesco Group for internal administrative purposes; (v) investigating, detecting and preventing fraud and other crime; (vi) ensuring network and information security; (vii) purchasing services from third parties which support us in providing our services to you and in complying with our legal obligations; (viii) recording and monitoring calls and electronic communications for record keeping, security, quality, staff training and other business purposes; (ix) developing, improving and informing you about the Invesco Group's products and services, conferences and marketing events that may be of interest to you or the organisation you represent; (x) maintaining the relationship and otherwise interacting with you; (xi) undertaking business analysis and market research relevant to our business; (xii) protecting the Invesco Group's interests, including establishing, exercising and defending legal rights and claims; and (xiii) ensuring that our affiliates, service providers, agents and delegates can also comply with their domestic and foreign legal and regulatory obligations. When evaluating whether legitimate interests can be relied on as a legal basis for the processing activities described above, a balancing test is carried out to ensure that the use of your personal data will not be overridden by your interests or fundamental rights and freedoms, and you can obtain further information about the balancing test by contacting us using the contact details provided below;
- d) if you are a Politically Exposed Person and we need to use personal data about your political opinions or trade union membership to allow you to invest in the SICAV, we will rely on the legal basis that this information is manifestly made public or is necessary for reasons of substantial public interest on the basis of applicable laws; and
- e) in certain circumstances and subject to applicable laws, your consent, however we will make this clear to you at the time of collection of your personal data, and you will have the right to withdraw your consent at any time.

### What are the consequences if you fail to provide us with the requested personal data?

If we ask you to provide us with your personal data to comply with a legal requirement or to allow us to enter into or perform a contract with you, we will advise you (e.g. in the Application Form or otherwise) whether you are obliged to provide the personal data as well as of the possible consequences if you fail to do so. For example, if you do not correctly complete all relevant sections of the Application Form and/or provide us with the correct information e.g. for AML, CTF, KYC or tax purposes or about the source of your wealth, we may reject or delay the processing of your application and/or suspend holdings/payments until the relevant information is received to our satisfaction.

### Who do we share your personal data with?

We may share your personal data for the purposes described in this Privacy Notice with our affiliates, intermediaries, service providers, agents and delegates, such as Transfer Agents, Global Distributor, Administration Agent, Investment Managers, registrars, auditors and other professional advisors (and their affiliates, service providers, agents and delegates). Where we use third parties who process your personal data on our behalf as a processor, we ensure they do so in accordance with our instructions and that they put appropriate technical and organisational security measures in place to adequately protect your personal data. Where we share your personal data with our service providers (e.g. Depository, banking service provider), we may allow them to process it as controllers under their privacy notices solely for the purposes of providing their services to us, complying with their own (and their affiliates', service providers', agents' and delegates') legal obligations or pursuing their legitimate interests as described above in this Privacy Notice, but strictly in connection with the provision of their services to us and in accordance with applicable laws. We do not allow our service providers to market to you (for their own purposes) unless they obtain your consent. In certain circumstances, we or they may inform you about how you can access their privacy notices, which will give you further information as to how they use your personal data and how you can exercise your rights in relation to your personal data. We may disclose your personal data for the purposes set out in this Privacy Notice to other third parties e.g. courts, governmental agencies, tax and other regulatory authorities, where we are legally obliged to do so or in our reasonable opinion such disclosure is necessary to comply with applicable laws and legal processes, support an investigation or to protect our rights and interests. We may also share your personal data with a potential buyer in connection with any proposed purchase, merger or acquisition of any part of our business. As we operate globally, your personal data may be transferred to countries within or outside the European Economic Area, including the United States, India and Hong Kong. Where the level of protection of personal data in those countries has not been deemed adequate by the European Commission, we put standard data protection clauses in place (as approved by the European Commission) to provide appropriate safeguards to ensure that your personal data will remain adequately protected in accordance with applicable data protection laws. Please contact us using the contact details provided below to obtain a copy of our standard data protection clauses.

### How do we protect your personal data?

To protect the security of your personal data, we implement appropriate technical and organisational security measures which include physical and technical security safeguards and a governance model that ensures that adequate policies, procedures and controls are in place within our organisations.

### How long we hold your personal data for?

We may retain your personal data as long as it remains necessary in relation to the purposes we collected the information for. When determining the appropriate retention period, we consider the risks of the processing, our contractual, legal and regulatory obligations, internal data retention policies and our legitimate business interests as described in this Privacy Notice.

### What are your rights in relation to the personal data we hold about you?

Subject to the conditions prescribed in applicable laws, you have the right: (i) to access, rectify or request erasure of your personal data; (ii) to ask us to restrict processing of it; (iii) to request portability of it; (iv) to object, on grounds relating to your particular situation, to processing of your personal data which is based on our or a third party's legitimate interests; and (v) to object to processing of your personal data for direct marketing purposes. You can exercise these rights by contacting us using the contact details provided below. You also have the right to lodge a complaint with a supervisory authority about our use of your personal data in the EU Member State of your habitual residence, place of work or place of the alleged infringement if you consider that the processing of your personal data infringes the GDPR.

### How can you contact us?

If you have any questions or concerns about our use of your personal data, please contact us in writing at the address of the Management Company or at [emea.privacy@invesco.com](mailto:emea.privacy@invesco.com).

## 09 Signatures

Before signing this Application Form, please ensure you have completed the Application Form in full including the relevant FATCA/CRS Self-certification and have read and understood the declarations/notes in Sections 06, 07, 08 and 09.

By signing this Application Form you consent that the SICAV may assign or transfer its right, interests, obligations and duties under this Application Form in whole or in part to any entity of the Invesco Group.

### 09.a For use by the Account Holder only

(To be signed by authorised representatives as per Authorised Signatory list/Power of Attorney, as applicable). I/We the undersigned hereby represent that I/we have, under applicable law, full legal and regulatory power and authority to invest (please tick as appropriate):

#### Account Holder - legal entity

- On our own behalf
- as a nominee for or on behalf of our customers

#### Name of Account Holder

#### Representative signature

#### Date (dd mm yyyy)

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#### Print name

#### Title

#### Representative signature

#### Date (dd mm yyyy)

--	--	--	--	--	--	--	--	--	--

#### Print name

#### Title

#### Account Holder - individual(s)

#### Name of first Account Holder

#### Account Holder signature

#### Date (dd mm yyyy)

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#### Date (dd mm yyyy)

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#### Name of second Account Holder

#### Account Holder signature

#### Date (dd mm yyyy)

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#### Date (dd mm yyyy)

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If the Application Form incorporating this declaration is signed under a Power of Attorney, an original or certified true copy of the Power of Attorney document, proof of identification and/or proofs of addresses may be required to support the signature.

## 09.b For use by financial advisors/intermediaries only

(Financial intermediaries acting as nominee should leave this section blank and sign Section 09.a)

Please tick this box if you have not given advice about this application

Name of financial advisor/intermediary

Regulatory reference no. (e.g. FCA etc.)

Invesco financial advisor number

Telephone number

Fax number

E-mail address

Declaration by financial advisor/intermediary regulated by a Financial Services Regulator in an equivalent country.

I/We certify that I am/we are regulated by

Regulated as

in

for AML and CTF purposes.

Signed

Authorised signature

Print name

Stamp

Date (dd mm yyyy)